

DOCKET NO.: 215240US0PCT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yasuji HIRAMATSU, et al.

SERIAL NUMBER: 09/926,362

FILED: 22 OCTOBER 2001

FOR: ALUMINUM NITRIDE SINTERED BODY, CERAMIC SUBSTRATE, CERAMIC
HEATER AND ELECTROSTATIC CHUCK

RESPONSE TO NOTICE OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

ASSISTANT COMMISSIONER FOR PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

SIR:

Responsive to the notification dated **November 16, 2001**, and in accordance with the provisions of 35 U.S.C. 371, Applicants submit herewith a Rule 63 Declaration and a Preliminary Amendment.

The required fee is attached herewith.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Our check in the amount of \$252.00- is attached hereto. If any variance exists between the amount enclosed and the required Government fee, please charge or credit the difference to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136 and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script, appearing to read "Norman F. Oblon".

Norman F. Oblon
Attorney of Record
Registration No. 24,618
Surinder Sachar
Registration No. 34,423



22850



JC10 Rec'd PCT/PTO 16 JAN 2002

09/956362 PCT

Docket No. 215240US0PCT

IN RE APPLICATION OF: Yasuji HIRAKAWA, et al.

SERIAL NO: 09/926,362

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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Preliminary Amendment/Declaration/Notification of Missing Requirements Response to Notification

The Fee has been calculated as shown below:

| CLAIMS | CLAIMS REMAINING | | HIGHEST NUMBER PREVIOUSLY PAID | NO. EXTRA CLAIMS | RATE | CALCULATIONS | |
|-------------|---------------------|--|---|------------------------|-----------|--------------|----------|
| TOTAL | 16 | MINUS | 20 | 0 | × \$18 = | \$0.00 | |
| INDEPENDENT | 6 | MINUS | 3 | 3 | × \$84 = | \$252.00 | |
| | | <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS | | | + \$280 = | \$0.00 | |
| | | TOTAL OF ABOVE CALCULATIONS | | | | | \$252.00 |
| | | <input type="checkbox"/> Reduction by 50% for filing by Small Entity | | | | | \$0.00 |
| | | <input type="checkbox"/> Recordation of Assignment | | | + \$40 = | \$0.00 | |
| | | TOTAL | | | | | \$252.00 |

- ☒ A check in the amount of **\$252.00** is attached.
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

01/22/2002 UEDUVIJE 00000026 09926362

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OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

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MAIER & NEUSTADT, P.C.



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Norman F. Oblon
Attorney of Record
Registration No. 24,618
Surinder Sachar
Registration No. 34,423



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Rev. PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

BSNY 11/13

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/926,362 | Yasuji Hiramatsu | 215240US0PCT |

INTERNATIONAL APPLICATION NO.

PCT/JP01/01406

I.A. FILING DATE

PRIORITY DATE

02/26/2001

02/24/2000

22850
OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT PC
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1755 JEFFERSON DAVIS HIGHWAY
ARLINGTON, VA 22202

CONFIRMATION NO. 9235

371 FORMALITIES LETTER



OC000000007079867

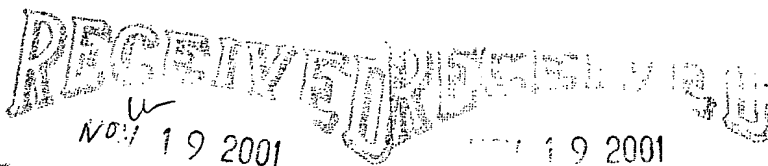
Date Mailed: 11/16/2001

Decl / Add'l claim fees
1-1602

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination



OBLON, SPIVAK, MCCLELLAND
MAIER & NEUSTADT, P.C.

OBLON, SPIVAK, MCCLELLAND
MAIER & NEUSTADT, P.C.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$532 as a non-small entity, including any required multiple dependent claim

fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$532** for a Large Entity:

- Total additional claim fee(s) for this application is **\$532**
 - **\$252** for **3** independent claims over 3.
 - **\$280** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/926,362 | PCT/JP01/01406 | 215240US0PCT |